
Finance

In the College of Business Administration

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Faculty

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Andrew Q. Do, Ph.D., Professor of Finance
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David P. Ely, Ph.D., Professor of Finance
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Kamal M. Haddad, Ph.D., Professor of Finance
(Graduate Adviser)
Edward Omberg, Ph.D., Professor of Finance
Mehdi Salehizadeh, Ph.D., Professor of Finance
(Graduate Adviser, International Business)
James L. Short, Ph.D., Professor of Finance
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Moon H. Song, Ph.D., Professor of Finance (Graduate Adviser)
William E. Sterk, Ph.D., Professor of Finance
(Graduate Adviser)
Pieter A. Vandenberg, D.B.A., Professor of Finance
Thomas M.D. Warschauer, Ph.D., Professor of Finance (Graduate
Adviser, Financial and Tax Planning)
Swaminathan G. Badrinath, Ph.D., Associate Professor of Finance
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Arthur L. Houston, Jr., Ph.D., Associate Professor of Finance
Prasad Padmanabhan, Ph.D., Associate Professor of Finance (IVC)
Kanwal S. Sachdeva, D.B.A., Associate Professor of Finance
Robert W. Wilbur, Ph.D., Associate Professor of Finance, Associate
Dean for Academic Affairs, College of Business Administration
Allan A. Zebedee, Ph.D., Assistant Professor of Finance

Courses Acceptable on Master's Degree Programs in Business Administration (FIN)

UPPER DIVISION COURSES

522. Individual Insurance Management (2) II

Prerequisites: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core.

Economic, legal, social, and ethical considerations of individual, business and group insurance including life, health, property, and liability insurance. Risk exposure and policy analysis. (The combination of Finance 522 and 523 was formerly numbered Finance 521.)

523. Employee Benefit Planning (2) II

Prerequisites: Undergraduate: Completion of lower division requirements for the major. Graduate: Completion of prerequisite core.

Employee benefit and pension planning, including regulation and taxation issues. (The combination of Finance 522 and 523 was formerly numbered Finance 521.)

589. Personal Financial Planning (3) I

Prerequisite: Finance 323.

Financial planning process including data gathering, cash flow and debt considerations, goal programming (including retirement and education funding), integration, plan formulation, and implementation. Practice management considerations including establishment of ethical and legal, client and professional relationships.

GRADUATE COURSES

604. Legal Environment for Executives (3)

Legal environment of business, government regulation, social and ethical considerations in the administration of justice, substantive law of contracts, property, agency, and business organizations.

617. Financial Management II (3)

Prerequisite: Business Administration 665.

Develops topics to include asset pricing, capital budgeting techniques, dividend policy and financing decisions, applications of options and futures, term structure of interest rates, regulation of financial markets, leasing decisions, corporate control.

641. Financing the Emerging Enterprise (3)

Prerequisite: Business Administration 665.

Financial considerations in emerging and growing enterprises to include internal financial management, external funding sources, and dealing with venture capitalists. Emphasis on integration of theory, computer analysis, and human judgment in financial decision making.

642. Financial Risk Management (3)

Prerequisite: Business Administration 665.

Measurement and management of foreign exchange, interest rate, equity, and commodity risks. Risk assessment models, implementation strategies, risk management, and capital allocation. Using derivatives for risk management.

651. Seminar in Investments (3)

Prerequisite: Business Administration 665.

Characteristics of financial markets and instruments. Contemporary as well as traditional approaches to problems of pricing individual securities; portfolio selection and analysis; techniques of analysis; measurement of risk; return, and investment values.

652. Seminar in Security Analysis and Portfolio Management (3)

Prerequisite: An upper division or graduate course in investments.

Security valuation, alternative instruments, portfolio theory, active and passive management techniques, asset allocation, performance measurement, use of derivative instruments in portfolio management, debt portfolio management techniques, ethical standards.

653. Case Studies in Financial Management (3)

Prerequisite: Business Administration 665.

Applies theory of finance to practice of financial decision-making. Includes valuation, mergers, and restructurings. Integrates theory and managerial judgment in a decision-making context. Case study format.

654. Seminar in International Business Finance (3)

Prerequisite: Business Administration 665.

International financial instruments, markets, and institutions; international trade and capital flows; foreign exchange risks and their management; direct and portfolio investment; implications for conduct of global business.

656. Seminar in Financial Institutions (3)

Prerequisite: Business Administration 665.

Change in financial institution management thought. Trends in asset management theory and liability management theory. Current events in financial institutions, changes likely to occur and proposed changes in laws and regulations.

657. Financial Counseling (3) Cr/NC

Prerequisites: Advancement to candidacy and Finance 651.

Decision-making process and theory of individual financial needs. Planning and implementation of financial strategies that aid in meeting family goals. Counseling. Ethics. Preparation of financial plans using cases and individuals.

658. Seminar in Options and Futures (3)

Prerequisite: Business Administration 665.

Applications of options and futures in corporate finance and investments. Analytical and numerical methods of option pricing. Compound options and options in dividend paying assets. Options with stochastic exercise prices and options to exchange one risky asset for another.

659. Decision Making in the World Economy (3)

Prerequisite: Classified graduate standing.

Application of macroeconomic theory to business decision making, study of economic environment and government macroeconomic policy from a business viewpoint.

696. Seminar in Selected Topics (3)

Intensive study in specific areas of finance. May be repeated with new content. See Class Schedule for specific content. Maximum credit six units applicable to a master's degree. Maximum combined credit of six units of 596 and 696 applicable to a 30-unit master's degree.

705. Seminar in Estate Planning (3)

Prerequisite: Business Administration 665.

The scope and nature of estate planning. Identification and analysis of the environmental factors and those aspects of federal and state law affecting estate planning and taxation. Estate taxation and social policy.

780. Seminar in Land Markets and Urban Development Issues (3)

Prerequisite: Graduate standing.

Land use and policy issues affecting the spatial environments for enterprise decisions. Legal and institutional framework for basic land market functions and operations. Housing market demand and supply determinants. Development issues and models of urban land use.

783. Seminar in Real Estate Investment and Development (3)

Prerequisite: Graduate standing.

Analysis of real estate investment and development decisions by corporations, individuals and financial institutions. Market and feasibility analysis, taxation, financing and risk evaluation, portfolio considerations.

784. Seminar in Real Estate Finance and Valuation (3)

Prerequisite: Graduate standing.

Application of valuation theory to real property and related mortgage instruments in a market context. Market definition, data collection and analysis. Value determinants and new valuation technologies. Special valuation and financing issues and cases.

797. Research (3) Cr/NC/RP

Prerequisite: Advancement to candidacy.

Research in the area of finance. Maximum credit six units applicable to a master's degree.

798. Special Study (1-3) Cr/NC/RP

Prerequisite: Consent of staff; to be arranged with department chair and instructor.

Individual study. Maximum credit six units applicable to a master's degree.
